

APPLICATION FORM

cmta.

Recognition as a technology service provider.

The undersigned (the "**Applicant**") hereby applies for its recognition as a technology service provider authorized to provide confirmations under the following Certification Mark Regulations:

CMTA Tokenized Shares

CMTA Tokenized Debt

Applicant Corporate
name:

Registered address:

Business identification
number (UID/IDE):

Contact person name:

Email address:

Postal address
(if different):

Phone number:

Application supporting
information to attach:

Names + CVs of team members responsible for providing confirmations;

Examples of recent tokenization projects (incl. url to smart contract) or
description of tools and technologies used in tokenization process;

Confirmation of familiarity with CMTA standards;

Application letter (as per Annex).

**Capital Markets and
Technology Association**

cmta.ch

Route de Chêne 30

1208 Geneva

admin@cmta.ch

APPLICATION FORM



Recognition as a technology service provider.

Legal notice

By executing this application form, the Applicant acknowledges the terms and agrees to be bound by the "CMTA Tokenized Shares" and the "CMTA Tokenized Debt" Certification Mark Regulations (together the "**Certification Mark Regulations**") and CMTA's Regulations on the recognition of experts (the "**Regulations on Recognition**"). The Applicant in particular confirms that it meets the professional qualification and experience requirements set forth in Section 2.1 and agrees to the fee regime contemplated in Section 2.3 of the Regulations on Recognition, and has provided CMTA's Secretariat with evidence of its knowledge and experience in matters relating to distributed ledger technology, smart contracts and cryptography. The Applicant further acknowledges the provisions regarding the duration of the recognition set forth in Section 4.1 of the Regulations on Recognition, and in particular **acknowledges that the delivery of an inaccurate confirmation may result in the withdrawal of the recognition**. The rights and claims that CMTA may have against the Applicant in such a case are reserved.

The Applicant consents to the CMTA processing the data set forth in this application form to administer the use of the "CMTA Tokenized Shares" and the "CMTA Tokenized Debt" Certification Marks in the manner contemplated in the Certification Mark Regulations. The Applicant confirms that the data is accurate, that the persons to which such data relates have been adequately informed of the processing of the data by the CMTA and that such persons or entities have consented to such processing. The Applicant acknowledges and agrees that the processing of the data by the CMTA may involve the registration of the information set forth in this application form in CMTA's "Register of recognized experts", the posting of that information on CMTA's website or the disclosure of such information to third parties in a manner that the CMTA considers appropriate. Except when required by applicable law or legal process, the name of the Applicant's contact person and the text of the confirmations provided by the Applicant under the Certification Mark Regulations will not be posted on CMTA's website or otherwise disclosed to third parties.

Signature:

Place:

Name:

Date:

Signature:

Place:

Name:

Date:

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Technology Association**

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Annex: Specimen application letter

[Letterhead of Applicant]

Capital Markets and Technology Association

Route de Chêne 30

CH-1208 Geneva

To the attention of the Secretariat

[Date]

Re: Application for recognition as a Technology Service Provider

Ladies and Gentlemen,

We are writing on behalf of Applicant ("**Applicant**"), a company organized under the laws of [-] under registration number [-].

Applicant hereby requests to be recognized by the Capital Markets and Technology Association ("**CMTA**") in a capacity as a technology service provider authorized to provide confirmations for the purpose of the CMTA Tokenized Shares and CMTA Tokenized Debt Certification Mark Regulations (the "**Certification Mark Regulations**").

In support of this application, Applicant confirms and warrants to CMTA that:

1. it is familiar with and understands CMTA's Certification Mark Regulations and Regulations on Recognition of Experts, in each case in the version in effect as of the date hereof, as published on CMTA's website (<https://cmta.ch/>), and agrees to comply with and to be bound by these regulations;
2. it agrees to pay a fee of CHF 1'000 for the processing of this application;
3. it has knowledge and experience in the distributed ledger technology, smart contracts and cryptography, has the technical and operational capabilities to operate as a technology service provider as this function is described in the Certification Mark Regulations, and to issue Deployment Confirmations as this term is defined in those regulations;
4. it undertakes to provide upon request all information and documents that may be reasonably requested by CMTA or its agents to assess the accuracy and reliability of any Deployment Confirmation provided by it;
5. it will promptly inform CMTA's Secretariat if it ceases to satisfy the conditions set forth in CMTA's Regulations on Recognition of Experts to be recognized as a technology service provider.

Sincerely yours,

Applicant

Name:
Title:

Name:
Title: