

Application form

for the recognition as a legal expert authorized to issue confirmations
under the "CMTA Tokenized Shares" and/or "CMTA Tokenized Debt" Certification Mark Regulations



The undersigned (the "**Applicant**") hereby applies for (i) his/her and to the extent necessary, (ii) his/her firm's recognition as a legal expert authorized to issue confirmations under: (please tick)

- ☐ the "CMTA Tokenized Shares" Certification Mark Regulations
- ☐ the "CMTA Tokenized Debt" Certification Mark Regulations
- ☐ both

Applicant's full name

Applicant's firm

Profession

☐ Attorney-at-law

☐ Notary public

Canton(s) in which the Applicant
is authorized to practice

Postal address

Email address

Telephone number

Legal notice:

By executing this application form, the Applicant confirms that he/she has read and that he/she as well as his or her firm agrees to be bound by the "CMTA Tokenized Shares" and/or the "CMTA Tokenized Debt" Certification Mark Regulations as applicable (the "**Certification Mark Regulations**") and CMTA's Regulations on the recognition of experts (the "**Regulations on Recognition**"). The Applicant in particular confirms that he/she meets the professional qualification and experience requirements set forth in Section 1.2 and agrees to the fee regime contemplated in Section 1.4 of the Regulations on Recognition, and has provided CMTA's Secretariat with evidence of his/her knowledge and experience in matters relating to corporate law and technology. The Applicant confirms that he/she, respectively his or her firm, will be in a position to issue a legal opinion consistent with the specimen set forth in the Annex to the Certification Mark Regulations or in a format that has been agreed with the Secretariat of the CMTA. The Applicant further acknowledges the provisions regarding the duration of the recognition set forth in Section 4.1 of the Regulations on Recognition, and in particular **acknowledges that the delivery of an inaccurate opinion may result in the withdrawal of the recognition**. The rights and claims that CMTA may have against the Applicant or his/her firm in such a case are reserved.

The Applicant consents to the CMTA processing the data set forth in this application form to administer the use of the "CMTA Tokenized Shares" and/or the "CMTA Tokenized Debt" Certification Marks in the manner contemplated in the Certification Mark Regulations. The Applicant confirms that the data is accurate, that the persons to which such data relates have been adequately informed of the processing of the data by the CMTA and that such persons or entities have consented to such processing. The Applicant acknowledges and agrees that the processing of the data by the CMTA may involve the registration of the information set forth in this application form in CMTA's "Register of recognized experts", the posting of that information on CMTA's website or the disclosure of such information to third parties in a manner that the CMTA considers appropriate. Except when required by applicable law or legal process, the text of the opinions provided by the Applicant under the Certification Mark Regulations will not be posted on CMTA's website or otherwise disclosed to third parties.

Place:

Date:

Signature



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